

United States Patent and Trademark Office

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APPLICATION NUMBER

FILING RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/625,526

07/26/2000

Joseph Earl Ford

Ford 46-6

Lance J Lieberman Esq Cohen Pontani Lieberman & Pavane 551 Fifth Avenue

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FORMALITIES LETTER

Date Mailed: 09/15/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The signature of the following inventor(s) is missing from the oath or declaration: Joseph Earl ford et al
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

Sectors

Attorney Docket # Ford 46-6

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Joseph Earl FORD et al.

Serial No.:

09/625,526

Filed: July 26, 2000

For:

Method and Apparatus

for Spatial-Shift

Wavelength Multiplexing in Communication

Systems

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on

November 8, 2000 (Date of Deposit)

Lance J. Lieberman
Name of applicant, ssigned or Registered Representative

Signature

November 8, 2000 Date of Signature

Assistant Commissioner for Patents Washington, DC 20231 **Box Missing Parts**

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION FILING DATE GRANTED

SIR:

In order to complete the filing of missing parts for the above-identified application, applicant(s) submit(s) herewith the following:

- [x] Executed Declaration and Power of Attorney (attached to a copy of the specification as originally filed). The application filed in the Patent and Trademark Office is the application which the inventor(s) executed by signing the enclosed Declaration.
- [] Associate Power of Attorney
- [x] Assignment of the invention to <u>Lucent Technologies Inc.</u>

[x] Recordation cover sheet - PTO Form 1595

[x] Letter submitting assignment

[x] Copy of PTO 1533.

Please charge Lucent Technologies Inc.'s Deposit Account No. 12-2325 the amount of \$130.00 to cover the filing fee surcharge. Duplicate copies of this letter are enclosed.

If an extension of time to respond to this Notice To File Missing Parts of Application is required, then this paper further constitutes an express request for such an extension of time. Any additional fees required in connection with this application may be charged to Lucent Technologies Inc.'s Deposit Account No. 12-2325.

Respectfully submitted, COHEN, PONTANL, LIEBERMAN & PAVANE

By:

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Dated: November 8, 2000